

# Minnesota Background Studies 2018-2019 Data Overview Part 1

The information above each section of tables is intended to provide context and understanding on what data is included in each respective section. There are [Additional Notes and Data Definitions](#) at the end of this packet with more information on specific terms and data points. The tables start at a high level and then progress into more detail in each section as data is disaggregated further.

## Section 1: Distinct count of determinations and persons by race/ethnicity and year

[Section 1](#) includes the total counts of distinct determinations and persons with at least one determination by race/ethnicity. All counts throughout this document are distinct counts, meaning they represent the unique number of determinations or persons in each given category. For example, one determination could be associated with three provider applications, but would be counted as one determination. When counting distinct persons, it is important to recognize that a person can have multiple determinations and those determinations can have different results. Therefore, one person could be counted in the category of persons with an eligible determination and the category of persons with a disqualifying determination. Similarly, a person can have one or more determinations in multiple years, which means the totals for 2018 and 2019 in [Table 2](#) do not add up to the Grand Total. For example, if one person has a determination completed in 2018 and a determination completed in 2019, they will be counted in both the 2018 and 2019 rows, but will only be counted once in the Grand Total of distinct persons. All counts for a given date range (year in this case) were defined by determinations completed in that year. This date convention is used in all sections.

Table 1: Distinct count of determinations by race/ethnicity and year

Year	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	34,744	11,120	2,858	32	13,904	122,042	184,700
2019	40,712	11,772	3,278	258	19,838	134,767	210,625
Grand Total	75,456	22,892	6,136	290	33,742	256,809	395,325

Table 2: Distinct count of persons with at least one determination by race/ethnicity and year

Year	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	32,331	10,862	2,672	30	13,463	118,448	177,806
2019	37,455	11,540	3,033	242	19,246	130,633	202,149
Grand Total	66,645	21,898	5,491	272	31,894	241,590	367,790

## Section 2: Distinct count of persons by race, year, and determination type

Section 2 disaggregates [Table 2](#) by providing counts of distinct persons with at least one eligible determination ([Table 3](#)) and counts of distinct persons with at least one disqualifying determination ([Table 4](#)). While the counts in [Table 1](#) and [Table 2](#) in [Section 1](#) included undetermined determinations, these are not included in [Table 3](#) and [Table 4](#) below because in undetermined determinations, DHS does not make a disqualification decision on the determination (hence the determination is “undetermined”). Since [Table 3](#) and [Table 4](#) count distinct persons with at least one determination and a person can have one or more determinations in multiple years, the totals for 2018 and 2019 do not add up to the Grand Total. For example, if a person had a determination completed in 2018 and a determination completed in 2019, that person is counted in both the 2018 and 2019 rows, however only counted once in the Grand Total of distinct persons.

Table 3: Distinct count of persons with at least one eligible determination by race/ethnicity and year

Year	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	30,642	10,738	2,382		12,181	115,379	171,322
2019	34,542	11,287	2,590		13,636	124,251	186,306
Grand Total	62,677	21,561	4,828		25,147	233,033	347,246

Table 4: Distinct count of persons with at least one disqualifying determination by race/ethnicity and year

Year	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	1,747	122	294	30	434	2,673	5,300
2019	2,876	197	439	242	703	3,945	8,402
Grand Total	4,334	304	701	272	1,091	6,211	12,913

### Section 3: Distinct count of persons by race, year, determination type, and regulatory agency

[Table 5](#), [Table 6](#), and [Table 7](#) in this section represents the same data shown in the tables in [Section 1](#) and [Section 2](#), but is broken out by the distinct counts of persons where a provider has submitted background study application. Background applications are connected to the monitoring regulatory agency of the provider (Minnesota Department of Corrections, Minnesota Department of Health, and Minnesota Department of Human Services). Persons are connected to a determination and to a provider application and each provider is associated to a regulatory agency. Since one person may have multiple determinations, and each determination may be associated with multiple applications from different providers who are monitored by different regulatory agencies, the sum of distinct counts of persons associated with each regulatory agencies will not equal the Year Total or the Grand Total in the tables below.

There is a type of determination that is not associated with a provider application. Rapback (Record of Arrest and Prosecutions Back) determinations are generated based on notifications DHS receives about new potentially disqualifying information for a background study subject's (person) prior determination when that person has active affiliation on a provider's roster. Since Rapback determinations are not based on an initial background study provider application they are counted separately in the "Rapback Determination" row below.

Table 5: Distinct count of persons with at least one determination by race/ethnicity, year, and regulatory agency

Year/regulatory agency	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	32,331	10,862	2,672	30	13,463	118,448	177,806
Minnesota Department of Corrections	178	28	20		53	671	950
Minnesota Department of Health	16,524	5,987	1,103		6,810	73,640	104,064
Minnesota Department of Human Services	21,713	5,849	1,873		7,904	54,616	91,955
Rapback determinations	338	24	56	30	77	469	994
2019	37,455	11,540	3,033	242	19,246	130,633	202,149
Minnesota Department of Corrections	162	27	27		46	815	1,077
Minnesota Department of Health	18,660	5,958	1,207		6,946	69,869	102,640
Minnesota Department of Human Services	24,049	6,328	2,067		13,300	68,545	114,289
Rapback determinations	932	68	93	242	247	1,220	2,802
Grand Total	66,645	21,898	5,491	272	31,894	241,590	367,790

Table 6: Distinct count of persons with at least one eligible determination by race/ethnicity, year, and regulatory agency

Year/regulatory agency	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	30,642	10,738	2,382		12,181	115,379	171,322
Minnesota Department of Corrections	173	28	19		52	661	933
Minnesota Department of Health	15,968	5,957	1,042		6,674	72,701	102,342
Minnesota Department of Human Services	20,708	5,772	1,658		6,793	52,685	87,616
Rapback determinations	<10		<10			<10	<10
2019	34,542	11,287	2,590		13,636	124,251	186,306
Minnesota Department of Corrections	153	27	26		43	802	1,051
Minnesota Department of Health	17,851	5,909	1,095		6,758	68,634	100,247
Minnesota Department of Human Services	22,465	6,174	1,764		8,036	64,171	102,610
Rapback determinations							
Grand Total	62,677	21,561	4,828		25,147	233,033	347,246

Table 7: Distinct count of persons with at least one disqualifying determination by race/ethnicity, year, and regulatory agency

Year/regulatory agency	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	1,747	122	294	30	434	2,673	5,300
Minnesota Department of Corrections	<10		<10		<10	10	17
Minnesota Department of Health	560	31	61		138	949	1,739
Minnesota Department of Human Services	1,002	72	210		247	1,423	2,954
Rapback determinations	336	24	55	30	76	464	985
2019	2,876	197	439	242	703	3,945	8,402
Minnesota Department of Corrections	<10		<10		<10	13	26
Minnesota Department of Health	818	50	112		191	1,247	2,418
Minnesota Department of Human Services	1,423	93	286		320	1,782	3,904
Rapback determinations	932	68	93	242	247	1,218	2,800
Grand Total	4,334	304	701	272	1,091	6,211	12,913

Section 4: Distinct count of persons by race, year, determination type, regulatory agency, and provider type  
[Table 8](#), [Table 9](#), and [Table 10](#) follow the same pattern as [Table 5](#), [Table 6](#), and [Table 7](#) in [Section 3](#), but they break out counts further by the provider type grouped by the monitoring regulatory agency. The same notes on why distinct counts within each row do not add up to Year Totals and Grand Totals apply to these tables. Also, determinations can be tied to provider applications that were received after the determination was made. There are some provider types represented in the tables below that did not exist in 2018 or 2019. However, provider applications may have been received after 2018 or 2019 and subsequently tied to existing determinations that were completed in 2018 or 2019 and the distinct persons associated with those studies. Breaking out these data by provider types creates many rows, so [Table 8](#), [Table 9](#), and [Table 10](#) are each split between two pages for better readability.

Table 8: Distinct count of persons with at least one determination by race/ethnicity, year, and regulatory agency, and provider type (Page 1)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	32,331	10,862	2,672	30	13,463	118,448	177,806
Minnesota Department of Corrections	178	28	20	-	53	671	950
DOC Children's Residential Facility	156	22	13	-	21	383	595
DOC Corporate Child Foster Care	<10	<10	<10	-	<10	19	30
DOC Child Foster Care	-	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	16	<10	<10	-	31	271	329
Minnesota Department of Health	16,524	5,987	1,103	-	6,810	73,640	104,064
Nursing Home	4,350	1,060	262	-	1,602	16,611	23,885
Home Care Provider	9,285	1,706	520	-	2,757	23,217	37,485
MDH Educational Program	3,058	1,594	202	-	1,349	16,510	22,713
Hospice Care	88	79	<10	-	197	2,124	2,497
Hospital	2,910	2,362	198	-	1,610	24,311	31,391
Supplemental Nursing Services Agencies	1,432	108	16	-	293	1,838	3,687
MDH Temporary Employment/Personnel Agency	1,098	388	19	-	417	2,387	4,309
Board and Lodging	391	55	59	-	116	1,270	1,891
Nursing Home-Boarding Care	102	18	<10	-	16	143	284
Boarding Care-Home Care Provider	<10	-	-	-	<10	<10	14
Boarding Care	15	<10	-	-	<10	83	108
Outpatient Surgery	91	99	<10	-	95	1,898	2,186
Hospital-Nursing Home	77	99	58	-	148	2,880	3,262
MDH Health Occupation Practitioner	<10	<10	-	-	<10	257	267
Contingency Staffing	<10	-	-	-	<10	11	14
Assisted Living	1,153	187	29	-	248	2,436	4,053

Table 8: Distinct count of persons with at least one determination by race/ethnicity, year, and regulatory agency, and provider type (Page 2)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	21,713	5,849	1,873	-	7,904	54,616	91,955
Adult Day Care Center	438	221	13	-	101	909	1,682
Corporate Adult Foster Care	34	<10	<10	-	14	180	238
Substance Use Disorder Treatment	536	153	105	-	231	3,160	4,185
Licensed Child Care Center	2,335	595	122	-	1,444	11,314	15,810
DHS Child Foster Care	1,035	186	347	-	261	5,776	7,605
DHS Children's Residential Facility	113	23	10	-	77	616	839
Detoxification Program	42	<10	12	-	33	300	393
Family Adult Day Services	<10	-	-	-	<10	<10	<10
Home and Community-Based Services	10,298	1,733	697	-	2,379	19,121	34,228
Residential Mental Health	145	32	<10	-	72	887	1,143
Personal Care Provider Organization	10,972	3,675	871	-	2,379	9,666	27,563
Sex Offender Treatment Program	<10	<10	<10	-	<10	104	122
DHS Educational Program	254	63	16	-	113	725	1,171
Child Protection Worker	-	<10	<10	-	<10	139	144
Children's Therapeutic Services and Supports	68	13	<10	-	34	645	766
Private Child Caring or Placing Agency	36	18	<10	-	47	265	373
Residential Services-Physically Disabled	<10	-	-	-	-	-	<10
Unlicensed Home and Community-Based Services	388	39	<10	-	31	264	728
DHS Temporary Employment/Personnel Agency	139	32	<10	-	45	310	530
DHS Corporate Child Foster Care	652	58	31	-	161	1,563	2,465
Licensed Family Child Care	<10	<10	-	-	<10	36	43
Independent Living Assistance-Youth	-	-	-	-	-	<10	<10
Guardian/Conservator	18	<10	<10	-	865	514	1,408
Transportation Provider	1,022	89	35	-	144	1,106	2,396
Unlicensed Consumer-Directed Community Supports	434	172	46	-	632	3,667	4,951
Family Adult Foster Care	149	25	<10	-	27	538	745
Housing Support	142	23	10	-	44	537	756
Legal Non-Licensed Family Child Care	<10	-	-	-	-	-	<10
Certified License-Exempt Child Care Center	31	10	-	-	10	157	208
Fiscal Management Services	571	200	43	-	648	3,998	5,460
DHS Temporary Employment - Child Care	12	<10	-	-	<10	15	34
DHS Education Program - Child Care	<10	-	-	-	<10	<10	15
Withdrawal Management	15	<10	<10	-	<10	57	83
DHS Children's Residential Facility - Title IVE	121	20	18	-	49	580	788
EIDBI	14	<10	<10	-	<10	15	35
Rapback Determinations	338	24	56	30	77	469	994

Table 8: Distinct count of persons with at least one determination by race/ethnicity, year, and regulatory agency, and provider type (Page 3)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2019	37,455	11,540	3,033	242	19,246	130,633	202,149
Minnesota Department of Corrections	162	27	27	-	46	815	1,077
DOC Children's Residential Facility	121	20	20	-	21	279	461
DOC Corporate Child Foster Care	<10	<10	-	-	<10	23	32
DOC Child Foster Care	-	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	38	10	<10	-	25	535	616
Minnesota Department of Health	18,660	5,958	1,207	-	6,946	69,869	102,640
Nursing Home	4,049	941	297	-	1,485	14,612	21,384
Home Care Provider	9,695	1,525	501	-	2,642	20,149	34,512
MDH Educational Program	2,624	1,339	256	-	1,293	14,262	19,774
Hospice Care	108	76	10	-	168	1,890	2,252
Hospital	2,786	2,349	204	-	1,530	23,557	30,426
Supplemental Nursing Services Agencies	1,471	132	20	-	319	1,828	3,770
MDH Temporary Employment/Personnel Agency	1,117	473	22	-	421	2,081	4,114
Board and Lodging	352	59	66	-	130	1,252	1,859
Nursing Home-Boarding Care	81	21	<10	-	11	179	294
Boarding Care-Home Care Provider	11	-	-	-	<10	<10	22
Boarding Care	<10	-	<10	-	<10	44	59
Outpatient Surgery	61	72	<10	-	67	1,241	1,442
Hospital-Nursing Home	78	73	46	-	130	2,594	2,921
MDH Health Occupation Practitioner	<10	<10	<10	-	<10	442	458
Contingency Staffing	1,241	63	22	-	145	1,091	2,562
Assisted Living	1,279	158	38	-	308	2,756	4,539



Table 8: Distinct count of persons with at least one determination by race/ethnicity, year, and regulatory agency, and provider type (Page 4)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	24,049	6,328	2,067	-	13,300	68,545	114,289
Adult Day Care Center	440	144	<10	-	72	508	1,173
Corporate Adult Foster Care	15	<10	<10	-	<10	140	169
Substance Use Disorder Treatment	575	151	120	-	256	3,183	4,285
Licensed Child Care Center	3,651	1,144	196	-	2,440	18,839	26,270
DHS Child Foster Care	1,113	186	414	-	257	5,570	7,540
DHS Children's Residential Facility	164	29	25	-	80	852	1,150
Detoxification Program	35	<10	19	-	15	281	357
Family Adult Day Services	<10	<10	-	-	-	<10	10
Home and Community-Based Services	10,738	1,619	634	-	2,543	16,669	32,203
Residential Mental Health	133	29	12	-	43	868	1,085
Personal Care Provider Organization	10,604	3,179	771	-	2,087	7,601	24,242
Sex Offender Treatment Program	<10	<10	-	-	<10	105	118
DHS Educational Program	225	66	20	-	93	641	1,045
Child Protection Worker	<10	<10	<10	-	<10	79	87
Children's Therapeutic Services and Supports	98	29	<10	-	39	496	667
Private Child Caring or Placing Agency	46	17	11	-	12	227	313
Residential Services-Physically Disabled							
Unlicensed Home and Community-Based Services	460	38	14	-	42	397	951
DHS Temporary Employment/Personnel Agency	83	12	<10	-	22	160	282
DHS Corporate Child Foster Care	815	64	40	-	162	1,573	2,654
Licensed Family Child Care	150	84	20	-	109	3,526	3,889
Independent Living Assistance-Youth	<10	-	-	-	-	-	<10
Guardian/Conservator	179	61	20	-	4,948	2,612	7,820
Transportation Provider	1,110	73	39	-	127	1,047	2,396
Unlicensed Consumer-Directed Community Supports	80	28	<10	-	54	546	715
Family Adult Foster Care	180	25	15	-	34	512	766
Housing Support	137	19	22	-	41	412	631
Legal Non-Licensed Family Child Care	69	<10	<10	-	11	130	223
Certified License-Exempt Child Care Center	552	291	38	-	395	5,278	6,554
Fiscal Management Services	800	267	53	-	811	4,945	6,876
DHS Temporary Employment - Child Care	112	28	<10	-	16	178	336
DHS Education Program - Child Care	43	12	<10	-	13	116	185
Withdrawal Management	22	<10	12	-	<10	93	134
DHS Children's Residential Facility - Title IVE	176	19	42	-	92	1,170	1,499
EIDBI	12	<10	-	-	<10	28	46
Rapback Determinations	932	68	93	242	247	1,220	2,802
<b>Grand Total</b>	<b>66,645</b>	<b>21,898</b>	<b>5,491</b>	<b>272</b>	<b>31,894</b>	<b>241,590</b>	<b>367,790</b>

Table 9: Distinct count of persons with at least one eligible determination by race/ethnicity, year, and regulatory agency, and provider type (Page 1)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	30,642	10,738	2,382	-	12,181	115,379	171,322
Minnesota Department of Corrections	173	28	19	-	52	661	933
DOC Children's Residential Facility	151	22	13	-	20	380	586
DOC Corporate Child Foster Care	<10	<10	<10	-	<10	18	29
DOC Child Foster Care	-	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	16	<10	<10	-	31	265	322
Minnesota Department of Health	15,968	5,957	1,042	-	6,674	72,701	102,342
Nursing Home	4,230	1,055	249	-	1,567	16,396	23,497
Home Care Provider	9,009	1,696	483	-	2,692	22,816	36,696
MDH Educational Program	3,015	1,590	197	-	1,334	16,404	22,540
Hospice Care	88	79	<10	-	197	2,120	2,493
Hospital	2,861	2,355	197	-	1,598	24,194	31,205
Supplemental Nursing Services Agencies	1,417	108	16	-	291	1,831	3,663
MDH Temporary Employment/Personnel Agency	1,045	385	17	-	408	2,351	4,206
Board and Lodging	346	53	54	-	107	1,174	1,734
Nursing Home-Boarding Care	100	18	<10	-	16	142	281
Boarding Care-Home Care Provider	<10	-	-	-	<10	<10	13
Boarding Care	13	<10	-	-	<10	80	103
Outpatient Surgery	91	99	<10	-	93	1,893	2,179
Hospital-Nursing Home	75	98	58	-	145	2,865	3,241
MDH Health Occupation Practitioner	<10	<10	-	-	<10	257	267
Contingency Staffing	<10	-	-	-	<10	11	14
Assisted Living	1,153	187	29	-	248	2,436	4,053

Table 9: Distinct count of persons with at least one eligible determination by race/ethnicity, year, and regulatory agency, and provider type (Page 2)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	20,708	5,772	1,658	-	6,793	52,685	87,616
Adult Day Care Center	434	218	11	-	99	896	1,658
Corporate Adult Foster Care	33	<10	<10	-	14	175	232
Substance Use Disorder Treatment	495	145	80	-	221	2,941	3,882
Licensed Child Care Center	2,288	592	115	-	1,438	11,235	15,668
DHS Child Foster Care	981	185	326	-	251	5,619	7,362
DHS Children's Residential Facility	103	23	<10	-	76	608	818
Detoxification Program	36	<10	11	-	32	284	369
Family Adult Day Services	<10	-	-	-	<10	<10	<10
Home and Community-Based Services	10,026	1,725	675	-	2,305	18,735	33,466
Residential Mental Health	141	32	<10	-	72	879	1,131
Personal Care Provider Organization	10,429	3,637	748	-	2,259	9,319	26,392
Sex Offender Treatment Program	<10	<10	<10	-	<10	103	120
DHS Educational Program	245	60	12	-	109	697	1,123
Child Protection Worker	-	<10	<10	-	-	138	142
Children's Therapeutic Services and Supports	66	13	<10	-	34	644	763
Private Child Caring or Placing Agency	36	18	<10	-	47	263	370
Residential Services-Physically Disabled	<10	-	-	-	-	-	<10
Unlicensed Home and Community-Based Services	385	39	<10	-	31	262	723
DHS Temporary Employment/Personnel Agency	134	31	<10	-	44	309	522
DHS Corporate Child Foster Care	637	58	31	-	153	1,514	2,393
Licensed Family Child Care	<10	<10	-	-	<10	36	43
Independent Living Assistance-Youth	-	-	-	-	-	<10	<10
Guardian/Conservator	-	-	-	-	-	-	-
Transportation Provider	972	84	33	-	138	1,059	2,286
Unlicensed Consumer-Directed Community Supports	404	169	40	-	615	3,569	4,797
Family Adult Foster Care	148	25	<10	-	26	530	734
Housing Support	128	23	<10	-	42	496	697
Legal Non-Licensed Family Child Care	<10	-	-	-	-	-	<10
Certified License-Exempt Child Care Center	31	10	-	-	10	157	208
Fiscal Management Services	570	200	43	-	645	3,994	5,452
DHS Temporary Employment - Child Care	12	<10	-	-	<10	15	34
DHS Education Program - Child Care	<10	-	-	-	<10	<10	15
Withdrawal Management	15	<10	<10	-	<10	57	83
DHS Children's Residential Facility - Title IVE	113	20	18	-	48	570	769
EIDBI	14	<10	<10	-	<10	15	35
Rapback Determinations	<10	-	<10	-	-	<10	<10

Table 9: Distinct count of persons with at least one eligible determination by race/ethnicity, year, and regulatory agency, and provider type (Page 3)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2019	34,542	11,287	2,590	-	13,636	124,251	186,306
Minnesota Department of Corrections	153	27	26	-	43	802	1,051
DOC Children's Residential Facility	118	20	19	-	19	277	453
DOC Corporate Child Foster Care	<10	<10	-	-	<10	22	28
DOC Child Foster Care	-	-	-	-	-	<10	<10
DOC Children's Residential Facility - Title IVE	35	10	<10	-	24	525	602
Minnesota Department of Health	17,851	5,909	1,095	-	6,758	68,634	100,247
Nursing Home	3,829	937	279	-	1,443	14,312	20,800
Home Care Provider	9,261	1,503	441	-	2,541	19,578	33,324
MDH Educational Program	2,576	1,328	246	-	1,281	14,170	19,601
Hospice Care	107	76	10	-	168	1,881	2,242
Hospital	2,725	2,342	193	-	1,520	23,415	30,195
Supplemental Nursing Services Agencies	1,447	132	19	-	314	1,807	3,719
MDH Temporary Employment/Personnel Agency	1,063	471	21	-	413	2,032	4,000
Board and Lodging	303	53	48	-	114	1,154	1,672
Nursing Home-Boarding Care	80	20	<10	-	10	178	290
Boarding Care-Home Care Provider	11	-	-	-	<10	<10	22
Boarding Care	<10	-	<10	-	<10	41	52
Outpatient Surgery	61	72	<10	-	66	1,237	1,437
Hospital-Nursing Home	76	73	43	-	128	2,569	2,889
MDH Health Occupation Practitioner	<10	<10	<10	-	<10	442	458
Contingency Staffing	1,241	63	22	-	145	1,090	2,561
Assisted Living	1,279	158	38	-	308	2,756	4,539

Table 9: Distinct count of persons with at least one eligible determination by race/ethnicity, year, and regulatory agency, and provider type (Page 4)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	22,465	6,174	1,764	-	8,036	64,171	102,610
Adult Day Care Center	433	138	<10	-	71	502	1,153
Corporate Adult Foster Care	13	<10	<10	-	<10	135	159
Substance Use Disorder Treatment	514	146	90	-	236	2,876	3,862
Licensed Child Care Center	3,585	1,140	189	-	2,422	18,732	26,068
DHS Child Foster Care	1,015	183	389	-	249	5,413	7,249
DHS Children's Residential Facility	154	28	22	-	80	846	1,130
Detoxification Program	33	<10	15	-	15	267	337
Family Adult Day Services	<10	<10	-	-	-	<10	10
Home and Community-Based Services	10,328	1,610	600	-	2,434	16,112	31,084
Residential Mental Health	127	29	10	-	42	858	1,066
Personal Care Provider Organization	9,898	3,117	604	-	1,969	7,221	22,809
Sex Offender Treatment Program	<10	<10	-	-	<10	103	116
DHS Educational Program	204	66	11	-	90	605	976
Child Protection Worker	<10	<10	<10	-	<10	77	85
Children's Therapeutic Services and Supports	98	29	<10	-	39	493	663
Private Child Caring or Placing Agency	46	17	10	-	12	227	312
Residential Services-Physically Disabled	-	-	-	-	-	-	-
Unlicensed Home and Community-Based Services	455	38	13	-	42	395	943
DHS Temporary Employment/Personnel Agency	75	12	<10	-	20	159	271
DHS Corporate Child Foster Care	785	63	38	-	150	1,520	2,556
Licensed Family Child Care	147	83	20	-	109	3,500	3,859
Independent Living Assistance-Youth	<10	-	-	-	-	-	<10
Guardian/Conservator	-	-	-	-	-	-	-
Transportation Provider	1,065	72	33	-	112	993	2,275
Unlicensed Consumer-Directed Community Supports	61	28	<10	-	43	498	635
Family Adult Foster Care	179	24	15	-	32	504	754
Housing Support	122	18	17	-	39	390	586
Legal Non-Licensed Family Child Care	69	<10	<10	-	11	129	222
Certified License-Exempt Child Care Center	542	290	38	-	395	5,272	6,537
Fiscal Management Services	753	264	51	-	784	4,822	6,674
DHS Temporary Employment - Child Care	112	28	<10	-	16	177	335
DHS Education Program - Child Care	43	12	<10	-	13	116	185
Withdrawal Management	21	<10	11	-	<10	87	126
DHS Children's Residential Facility - Title IVE	167	19	40	-	88	1,153	1,467
EIDBI	12	<10	-	-	<10	28	46
Rapback Determinations	-	-	-	-	-	-	-
<b>Grand Total</b>	<b>62,677</b>	<b>21,561</b>	<b>4,828</b>	<b>-</b>	<b>25,147</b>	<b>233,033</b>	<b>347,246</b>

Table 10: Distinct count of persons with at least one disqualifying determination by race/ethnicity, year, regulatory agency, and provider type (Page 1)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2018	1,747	122	294	30	434	2,673	5,300
Minnesota Department of Corrections	<10	-	<10	-	<10	10	17
DOC Children's Residential Facility	<10	-	-	-	<10	<10	<10
DOC Corporate Child Foster Care	-	-	-	-	-	<10	<10
DOC Child Foster Care	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	-	-	<10	-	-	<10	<10
Minnesota Department of Health	560	31	61	-	138	949	1,739
Nursing Home	120	<10	13	-	36	217	391
Home Care Provider	278	11	37	-	65	406	797
MDH Educational Program	43	<10	<10	-	15	106	173
Hospice Care	-	-	-	-	-	<10	<10
Hospital	50	<10	<10	-	12	117	187
Supplemental Nursing Services Agencies	16	-	-	-	<10	<10	26
MDH Temporary Employment/Personnel Agency	53	<10	<10	-	<10	36	103
Board and Lodging	45	<10	<10	-	<10	96	157
Nursing Home-Boarding Care	<10	-	-	-	-	<10	<10
Boarding Care-Home Care Provider	<10	-	-	-	-	-	<10
Boarding Care	<10	-	-	-	-	<10	<10
Outpatient Surgery	-	-	-	-	<10	<10	<10
Hospital-Nursing Home	<10	<10	-	-	<10	15	21
MDH Health Occupation Practitioner	-	-	-	-	-	-	-
Contingency Staffing	-	-	-	-	-	-	-
Assisted Living	-	-	-	-	-	-	-

Table 10: Distinct count of persons with at least one disqualifying determination by race/ethnicity, year, regulatory agency, and provider type (Page 2)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	1,002	72	210	-	247	1,423	2,954
Adult Day Care Center	<10	<10	<10	-	<10	13	24
Corporate Adult Foster Care	<10	-	-	-	-	<10	<10
Substance Use Disorder Treatment	42	<10	25	-	10	220	305
Licensed Child Care Center	48	<10	<10	-	<10	79	143
DHS Child Foster Care	54	<10	21	-	10	157	243
DHS Children's Residential Facility	10	-	<10	-	<10	<10	21
Detoxification Program	<10	-	<10	-	<10	16	24
Family Adult Day Services	-	-	-	-	-	-	-
Home and Community-Based Services	272	<10	22	-	74	390	767
Residential Mental Health	<10	-	-	-	-	<10	12
Personal Care Provider Organization	548	38	123	-	120	347	1,176
Sex Offender Treatment Program	-	-	-	-	<10	<10	<10
DHS Educational Program	10	<10	<10	-	<10	28	50
Child Protection Worker	-	-	-	-	-	-	-
Children's Therapeutic Services and Supports	<10	-	-	-	-	<10	<10
Private Child Caring or Placing Agency	-	-	<10	-	-	<10	<10
Residential Services-Physically Disabled	-	-	-	-	-	-	-
Unlicensed Home and Community-Based Services	<10	-	-	-	-	<10	<10
DHS Temporary Employment/Personnel Agency	<10	<10	-	-	<10	<10	<10
DHS Corporate Child Foster Care	16	-	-	-	<10	49	73
Licensed Family Child Care	-	-	-	-	-	-	-
Independent Living Assistance-Youth	-	-	-	-	-	-	-
Guardian/Conservator	-	-	-	-	-	-	-
Transportation Provider	50	<10	<10	-	<10	48	111
Unlicensed Consumer-Directed Community Supports	31	<10	<10	-	17	98	155
Family Adult Foster Care	<10	-	<10	-	<10	<10	11
Housing Support	14	-	<10	-	<10	41	59
Legal Non-Licensed Family Child Care	-	-	-	-	-	-	-
Certified License-Exempt Child Care Center	-	-	-	-	-	-	-
Fiscal Management Services	<10	-	-	-	<10	<10	<10
DHS Temporary Employment - Child Care	-	-	-	-	-	-	-
DHS Education Program - Child Care	-	-	-	-	-	-	-
Withdrawal Management	-	-	-	-	-	-	-
DHS Children's Residential Facility - Title IVE	<10	-	-	-	<10	10	19
EIDBI	-	-	-	-	-	-	-
Rapback Determinations	336	24	55	30	76	464	985

Table 10: Distinct count of persons with at least one disqualifying determination by race/ethnicity, year, regulatory agency, and provider type (Page 3)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
2019	2,876	197	439	242	703	3,945	8,402
Minnesota Department of Corrections	<10	-	<10	-	<10	13	26
DOC Children's Residential Facility	<10	-	<10	-	<10	<10	<10
DOC Corporate Child Foster Care	<10	-	-	-	-	<10	<10
DOC Child Foster Care	-	-	-	-	-	-	-
DOC Children's Residential Facility - Title IVE	<10	-	-	-	<10	10	14
Minnesota Department of Health	818	50	112	-	191	1,247	2,418
Nursing Home	220	<10	18	-	43	306	591
Home Care Provider	439	23	60	-	101	578	1,201
MDH Educational Program	47	11	10	-	13	92	173
Hospice Care	<10	-	-	-	-	<10	10
Hospital	62	<10	11	-	10	142	232
Supplemental Nursing Services Agencies	25	-	<10	-	<10	21	52
MDH Temporary Employment/Personnel Agency	54	<10	<10	-	<10	49	114
Board and Lodging	49	<10	18	-	16	98	187
Nursing Home-Boarding Care	<10	<10	-	-	<10	<10	<10
Boarding Care-Home Care Provider	-	-	-	-	-	-	-
Boarding Care	<10	-	-	-	<10	<10	<10
Outpatient Surgery	-	-	-	-	<10	<10	<10
Hospital-Nursing Home	<10	-	<10	-	<10	25	32
MDH Health Occupation Practitioner	-	-	-	-	-	-	-
Contingency Staffing	-	-	-	-	-	<10	<10
Assisted Living	-	-	-	-	-	-	-



Table 10: Distinct count of persons with at least one disqualifying determination by race/ethnicity, year, regulatory agency, and provider type (Page 4)

Year/Regulatory Agency/Provider Type	African American	Asian or Pacific Islander	Native American	None Provided	Unknown/Other	White	Grand Total
Minnesota Department of Human Services	1,423	93	286	-	320	1,782	3,904
Adult Day Care Center	<10	<10	-	-	<10	<10	20
Corporate Adult Foster Care	<10	<10	-	-	<10	<10	10
Substance Use Disorder Treatment	62	<10	31	-	20	307	425
Licensed Child Care Center	66	<10	<10	-	19	109	205
DHS Child Foster Care	98	<10	25	-	<10	157	291
DHS Children's Residential Facility	10	<10	<10	-	-	<10	20
Detoxification Program	<10	-	<10	-	-	14	20
Family Adult Day Services	-	-	-	-	-	-	-
Home and Community-Based Services	417	<10	35	-	110	565	1,136
Residential Mental Health	<10	-	<10	-	<10	10	19
Personal Care Provider Organization	710	62	167	-	119	382	1,440
Sex Offender Treatment Program	-	-	-	-	-	<10	<10
DHS Educational Program	21	-	<10	-	<10	36	69
Child Protection Worker	-	-	-	-	-	-	-
Children's Therapeutic Services and Supports	-	-	<10	-	-	<10	<10
Private Child Caring or Placing Agency	-	-	<10	-	-	-	<10
Residential Services-Physically Disabled	-	-	-	-	-	-	-
Unlicensed Home and Community-Based Services	<10	-	<10	-	-	<10	<10
DHS Temporary Employment/Personnel Agency	<10	-	-	-	<10	<10	11
DHS Corporate Child Foster Care	30	<10	<10	-	12	54	99
Licensed Family Child Care	<10	<10	-	-	-	26	30
Independent Living Assistance-Youth	-	-	-	-	-	-	-
Guardian/Conservator	-	-	-	-	-	-	-
Transportation Provider	46	<10	<10	-	15	55	123
Unlicensed Consumer-Directed Community Supports	19	-	<10	-	11	48	80
Family Adult Foster Care	<10	<10	-	-	<10	<10	12
Housing Support	15	<10	<10	-	<10	22	45
Legal Non-Licensed Family Child Care	-	-	-	-	-	<10	<10
Certified License-Exempt Child Care Center	10	<10	-	-	-	<10	17
Fiscal Management Services	48	<10	<10	-	27	123	203
DHS Temporary Employment - Child Care	-	-	-	-	-	<10	<10
DHS Education Program - Child Care	-	-	-	-	-	-	-
Withdrawal Management	<10	-	<10	-	-	<10	<10
DHS Children's Residential Facility - Title IVE	<10	-	<10	-	<10	17	32
EIDBI	-	-	-	-	-	-	-
Rapback Determinations	932	68	93	242	247	1,218	2,800
<b>Grand Total</b>	<b>4,334</b>	<b>304</b>	<b>701</b>	<b>272</b>	<b>1,091</b>	<b>6,211</b>	<b>12,913</b>

## Additional Notes and Data Definitions

1. Background Study **data sources** can include, but are not limited to, state criminal history, federal criminal history, Minnesota Court Information System (MNCIS), Minnesota child and adult maltreatment registries, and the Child Abuse and Neglect Registry (CANR).
2. An **eligible determination** means the background study subject was **not** determined to have committed a disqualifying crime or conduct in [Minn. Stat. sec. 245C.15](#)
3. A **disqualified determination** means the background study subject was determined responsible for a disqualifying crime or conduct in [Minn. Stat. sec. 245C.15](#)
4. A **background study provider application** is the record created by the provider when submitting a background study request. Background study provider applications can be created by providers at any time. If there is already another background study determination in process for the same person, the new background study application will connect to the in process background study determination (provided the background study requirements are met). In addition, existing background study determinations can transfer to new background study provider application submissions. The connection of background study provider applications to existing background study determinations creates efficiencies for study subjects and providers.
5. For an **undetermined determination**, DHS reviews information that was received but does not make an eligibility or disqualification decision on the determination (hence the determination is “undetermined”).
6. **Active roster** means the list of individuals specific to an entity who have been determined eligible to provide services for the entity and who the entity has identified as affiliated. See [Minn. Stat. sec. 245C.02 subd. 17a \(b\)](#)
7. A **rapback notification** (Record of Arrest and Prosecutions Back) is a notification DHS receives about new potentially disqualifying information for a background study subject with an active provider roster affiliation.
8. An **eligible rapback determination** means that the information received through the Rapback process was found to belong to the study subject, but after review was determined to not be a disqualifying crime or conduct.
9. The Race/Ethnicity categories captured in the Background Studies system and used in the summarized data above are collected for purposes of a background study fingerprint study. These categories are determined by the FBI CJIS policy and must be used for fingerprint background studies. DHS does not collect other race/ethnicity data that would allow for different or more detailed break outs of racial or ethnic groups.